

# Kentuckiana Chapter of ISACA

'Professional Credentials Enhance Career Value'



February, 2006

## Kentuckiana ISACA Website

The purpose of this news letter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends. Also available from your Kentuckiana ISACA group is our web-page that contains similar information in a dynamic and GUI format. If you have not seen our website, please check it out [here](#).

This award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.

## Career Opportunities

The KY ISACA Chapter strongly believes in providing a medium through which members can network and grow professionally. To that end, the KY ISACA chapter offers a web page for job opportunities to our members and to the community. If you are interested in posting job opportunities on our website, please contact Michael Vincent for more information.

Current career opportunities posted are located on our [Louisville Area Job Openings Page](#).

Thank you for supporting the KY ISACA Chapter.

## Next ISACA Lunch Meeting

**Time:** February 16, 2006  
11:30 – Sign-In and Networking  
12:00 – 1:00 - Presentation

**CPE:** 1 CPE Hour

### **Speaker - Topic:**

Michael Vincent, MBA, PMP, CISA  
Using Six Sigma Methodology in Audits

### **Speaker Bio:**

Please see article on page 3.

### **Location:**

Buck's Restaurant & Bar, 425 W. Ormsby Ave., Louisville, KY 40203 502.637.5284

### **Menu Selections:**

Hacked Chicken Salad (grilled chicken on a bed of romaine with tangy sesame peanut sauce) Fish Sandwich & Fries  
Classic Club Sandwich & potato salad Chicken Kentuckian (chicken breast in a cream sauce) Vegetarian Quiche

**RSVP:** Carrie Ramsey by February 13, 2006.

Office: (502) 627-4738

[Carrie.Ramsey@lgeenergy.com](mailto:Carrie.Ramsey@lgeenergy.com)

### **Cost:**

\$20

**PayPal** is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site (, go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other ecommerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Carrie Ramsey** with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

**PRESIDENT'S MESSAGE**

**From the desk of  
Matthew Smith**

**Dear Members,**

**Our Social Event / Chapter meeting in January went very well. Thank you to all those who were able to attend. Also, we really appreciate Dr. Alan Lord's time and effort to speak to our Chapter. Due to his involvement in ISACA International and his role in academia, he was able to bring a fresh perspective to our attention and give us some great ideas. I hope everyone enjoyed the evening and the excellent food.**

**Hopefully by now everyone has had an opportunity to see the new ISACA logo and tagline featured on both the ISACA International web site, as well as on our own Chapter web site. Check out the article included in this month's newsletter that explains the change.**

**The next Chapter meeting on February 16<sup>th</sup> will feature our very own Chapter VP and Newsletter Editor – Michael Vincent. He will be speaking on “Using Six Sigma Methodology in Audits” – a topic that should be applicable to all of us. We are very excited to hear from Michael. See the upcoming events section of the newsletter for additional details. I hope to see you there!**

**Regards,**

**Matthew Smith**

### Job Opportunity

#### Position:

Manager / Senior Manager, Financial Planning & Business Control Solutions (IT Specialist) [a.k.a. IT Auditor]

#### Description:

We are currently seeking a professional to serve as a member in the Business Control Solutions group that will primarily lead in conducting IT operational / technical / security / control reviews to help minimize risk and maximize efficiencies. The professional will work with departments to analyze, evaluate and enhance information systems facilitating the business internal control process. The ideal candidate will be self sufficient, well rounded and be capable of performing activities related to internal consulting, financial planning, external audit support and other areas as deemed necessary. Approximately 50% of the time will be dedicated to IT control reviews, 25% to operational reviews and 25% to financial planning / ad hoc assignments.

#### Contact Information:

**Suzanne P. Kneidinger, CPA**  
**The Perret Group**  
**Targeted Recruiting**  
2441-Q Old Fort Pkwy, #192  
Murfreesboro, TN 37128  
615-217-0172  
615-417-4398 cell

### Speaker Biography

Michael Vincent is currently a Senior Information Systems Auditor at Humana Inc., a Fortune 200 health benefits company based in Louisville, KY. Michael's role at Humana Inc. is to ensure that stockholder equity is appropriately protected by managing risks within Humana's Information Systems infrastructure.

Michael has lead projects and programs using Carnegie Melon's Capability Maturity Model Software Development Lifecycle application development methodology. Michael has also lead projects and programs involving risk based and compliance audits such as Sarbanes-Oxley.

Michael has over 10 years IT, IT Audit, and management experience in the transportation and health care industries, including key roles at Humana Inc. and United Parcel Service.

Michael graduated with a Bachelor of Arts Degree in Biology and a Masters Degree in Business Administration from the University of Louisville.

Michael holds a Project Management Professional (PMP) certification from the Project Management Institute and a Certified Information Systems Auditor (CISA) certification from the Information Systems Audit and Control Association.

Michael and his family currently live in Taylorsville, Kentucky. His lovely bride, Dana, is a CPA working for New Life Church. Michael and Dana currently have 1 daughter, Carissa, and are expecting their next child in June. The Vincents are avid University of Louisville fans.

### Policy for Posting Positions:

There have been several inquiries from various parties regarding the KY Chapter of ISACA and posting job inquiries. Below are the requirements as outlined within KY ISACA Board meeting Minutes:

- Members
  - o Free in 1 month's newsletter
  - o Free for 30 days on KY ISACA website
  - o Member is responsible for renewing posting on a monthly basis
- Non-Members
  - o \$50 for 1 month's newsletter AND 30 days on KY ISACA website
  - o \$50 for each additional month

# ISACA National News and Information

## ISACA Changes LOGO and Tagline

**Rolling Meadows, IL, USA (21 December 2005)**—To convey its broadened scope of professional expertise, ISACA® will use only its acronym—ISACA—after 1 January 2006. The association has also adopted a new tagline to further define and explain its acronym: ISACA: Serving IT Governance Professionals.

“ISACA is changing its logo to reflect the expanded professional niche we serve. We have seen an increasing number of information security professionals in our membership, and we are committed to providing pertinent programming and research to our constituents,” said Everett Johnson, CPA, international president of ISACA. “In addition, we find that our members are eager for information on IT governance, privacy, control, risk management, and the entire gamut of IT control and governance-related activities.”

ISACA remains committed to serving the fields of assurance and control, which, along with information security, are included under the broader, encompassing umbrella of IT governance.

“We realized that all of the professions we serve fall under the IT governance banner,” said Johnson. “Each one of those professions has an important role to play in ensuring that organizations exercise effective governance.”

Founded in 1969, ISACA has more than 50,000 members who live and work in more than 140 countries and who participate in more than 170 ISACA chapters. ISACA administers the Certified Information Systems Auditor (CISA) designation, earned by more than 44,000 professionals since its inception in 1978, and the Certified Information Security Manager (CISM) designation, earned by 5,500 professionals in its first three years. ISACA also sponsors

international conferences, publishes the *Information Systems Control Journal*, and develops international information systems auditing and control standards. Its research affiliate, the IT Governance Institute, was created in 1998 and publishes COBIT, the internationally recognized IT governance framework widely used for Sarbanes-Oxley compliance.

For more information on this and more ISACA information, please visit their website at [www.isaca.org](http://www.isaca.org)

New Logo:



# Forum

By Mike Vincent  
mvincent@humana.com

## *Daikaiju!*

The second full year of our favorite corporate scandal birthed “Pandoraesque” law continues its laborious path of destruction in corporate America. As I ponder pensively over this past year, I liken the impact of this ill-conceived law to be not unlike the unfortunate citizens of a major metropolitan city under the attack of a Daikaiju.

Now, for those who are unfamiliar with the term ‘Daikaiju’, allow me to explain: Daikaiju is Japanese for ‘Giant Monster’, usually used when describing such giant rubbery creatures as Godzilla, Gamera, or Varan who slowly and clumsily wreak a path of destruction as they plod their way through architecture. It is particularly frustrating for the city dwellers who, despite their best artillery, fail to even annoy the beast.

If you have ever seen one of these movies, as most of us have, you know the typical prologue usually involves stupid scientists setting off various atomic bombs. The resulting radioactivity mutates otherwise benign animals into fire-puking behemoths bent on destroying mankind.

The knee-jerk conceived Sarbanes Oxley law is likened to one of the Daikaiju. Lawmakers set off an ‘atomic SOX bomb’ and awakened the sleeping monster that now is crashing through public corporations, costing millions of dollars in expenses and limiting the ability of internal audit shops to focus resources on areas of the organization that may actually pose risks.

Oh well, I opine this thought as I run through the hallways of my corporate tower absolutely convinced that Soxzilla is right behind me!



## *Kentuckiana Chapter Officers\*\**

Officer	Position	Company	email
Matthew Smith	President	Brown-Forman Corporation	<a href="mailto:matthew_smith@b-f.com">matthew_smith@b-f.com</a>
Bruce Edwards	VP & Web master	U of L	<a href="mailto:Bruce@quasarcomics.com">Bruce@quasarcomics.com</a>
Michael Vincent	VP & Newsletter	Humana	<a href="mailto:mvincent@humana.com">mvincent@humana.com</a>
Diane Kissel	VP Programming	Kindred Health Care	<a href="mailto:diane.kissel@kindredhealthcare.com">diane.kissel@kindredhealthcare.com</a>
Bob Boyle	Treasurer	Strothman & Company	<a href="mailto:rboyle@strothman.com">rboyle@strothman.com</a>
Debbie Shelton	Past President, Secretary	LG&E Energy	<a href="mailto:Debbie.Shelton@lgeenergy.com">Debbie.Shelton@lgeenergy.com</a>
Carla Shields	Membership Director	Ajilon Finance	<a href="mailto:carla.shields@ajilonfinance.com">carla.shields@ajilonfinance.com</a>
James Rose	Standards Liaison	Humana	<a href="mailto:jrose1@humana.com">jrose1@humana.com</a>
Dave Barker	Academic Liaison	U of L	<a href="mailto:dfbark01@louisville.edu">dfbark01@louisville.edu</a>
Melissa Perry	CISA Coordinator		<a href="mailto:melissaperrycpa@yahoo.com">melissaperrycpa@yahoo.com</a>
Danette Dillow	Asst. Treasurer	Republic Bank	<a href="mailto:DDillow@republicbank.com">DDillow@republicbank.com</a>

# *Calendar of Events*

**ISACA**

**RSVP: [carrie.ramsey@lgeenergy.com](mailto:carrie.ramsey@lgeenergy.com)**

<b>Date / Location</b>	<b>Topic</b>	<b>Speaker</b>
September 5 / Kindred Healthcare	IT Compliance for Dummies	Active Reasoning
November 2, 2005	Database Security & Control	Dr. S. Srinivasan
December 7, 2005 / Vincenzo's	Information Security Governance: Aligning Security with Business to Produce Strategic Value and Effective Management Oversight.	David Gorgas, CISA, CIA, CNNA
January 19, 2006 / TBD	Social Event	Dr. Alan Lord & Matthew Smith
<b>February 16, 2006</b>	<b>Six Sigma Methodology in Audit Engagements</b>	<b>Michael Vincent, MBA, PMP, CISA</b>

**Institute of Internal Auditors**  
**RSVP: [carrie.ramsey@lgeenergy.com](mailto:carrie.ramsey@lgeenergy.com)**

Date	Topic	Speaker
11/8/2005	ERM	James Rose, MA, CPA, CISSP
<b>12/13/2005</b>	<b>Effective QARs</b>	<b>Jennifer Burke</b>
1/10/2006 (AM)	Roundtable – Evolving Role of Internal Audit	Dave Barker
1/10/2006 (Lunch)	Evolving Role of Internal Audit	Teresa Snediger
2/2/2006 (All Day)	Fraud – 2006	Courtenay Thompson (& Associates)
2/14/2006	Alternative Dispute Resolution in Business	Tony Belak
3/14/2006	Quantitative Risk Assessment in Action	Bruce Edwards